

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Matthew Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo Chern, Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, Alan Richard, Ronald P. Gale, Jason Lo, Stephen A. Pombo and Rodney Bumgardner

Application No.: 09/309,155

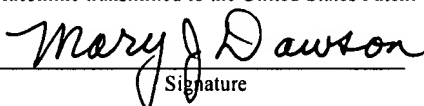
Group: 2629

Filed: May 10, 1999

Examiner: Regina Liang

Confirmation No.: 7157

For: PORTABLE MICRODISPLAY SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
May 23, 2007 Date	 Signature
Mary J. Dawson	
Typed or printed name of person signing certificate	

**TRANSMITTAL OF ISSUE FEE PAYMENT AND
SMALL ENTITY STATEMENT**


Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This application has claimed the benefit of small entity status, and a new determination has been made that small entity status still applies. Therefore, enclosed is a newly executed Small Entity Statement. Also enclosed is a check in payment of the total amount of the fees due.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
Booyong S. Lim
Registration No.: L0200
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: May 23, 2007

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR § 1.27(a))-SMALL BUSINESS CONCERN



DOCKET NUMBER: 0717.1117-008
Formerly KPN97-04A5

Applicant or Patentee: Matthew M. Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo Chern, Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, Alan Richard, Ronald P. Gale, Jason Lo, Stephen A. Pombo and Rodney J. Bumgardner
 Application or Patent No.: 09/309,155
 Filed or Issued: May 10, 1999
 Title: Portable Microdisplay System

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Kopin Corporation
 ADDRESS OF SMALL BUSINESS CONCERN 200 John Hancock Road, Taunton, MA 02780

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416 or you may call 202-205-6618.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each person, concern or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1), or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Hieng K. Chai

TITLE OF PERSON IF OTHER THAN OWNER CTO

ADDRESS OF PERSON SIGNING 200 John Hancock Rd. Taunton, MA 02780

SIGNATURE H. K. Chai DATE 3/28/07

Date of Allowance March 8, 2007



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Applicants: Matthew Zavracky, Jeffrey Jacobsen, Frederick P. Herrmann, Wen-Foo Chern, Hiap L. Ong, John C.C. Fan, Bor-Yeu Tsaur, Alan Richard, Ronald P. Gale, Jason Lo, Stephen A. Pombo and Rodney Bumgardner

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May 23, 2007	<i>Mary J. Dawson</i>
Date	Signature
Mary J. Dawson	
Typed or printed name of person signing certificate	

TRANSMITTAL OF REPLACEMENT DRAWINGS

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

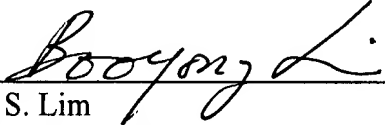
Sir:

Transmitted herewith are replacement drawings consisting of a total of 7 sheets, FIG. 19C, FIG. 19D, FIGs. 21A-21D, FIGs. 21J-21K, FIG. 24B, FIG. 25A and FIG. 25B, for filing in the subject patent application.

These replacement drawings are transmitted herewith in reply to the Notice of to File Corrected Application Papers mailed from the U.S. Patent and Trademark Office on May 2, 2007.

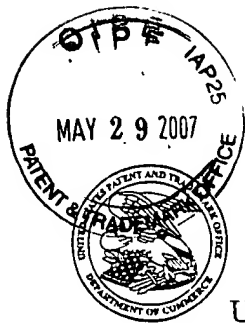
Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
Booyong S. Lim
Registration No. L0200
Telephone: (978) 341-0036
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Concord, MA 01742-9133

Date: May 23, 2007



COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
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P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Application No. : 09/309,155
Applicant : Zavracky et al.
Filing Date : 5/10/99
Date Mailed : 5/2/07

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Notice of Allowance Mailed

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 60 days from the mail date of this Notice within which to correct the informalities indicated below. If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR 1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to reply will result in the application being ABANDONED. **This period for reply is NOT extendable under 37 CFR 1.136(a).**

Please see attachment.

*A copy of this notice **MUST** be returned with the reply. Please address response to "Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450".*

Dale G. Olson
Office of Patent Publication
Phone: 703-308-9250 ext.122

Application No. 09/309,155 Drawings filed 5-10-99

IDENTIFICATION OF DRAWING DEFICIENCIES



- ☒ There is a hole or the image thereof within the illustration. FIG(s) 21A, 21J, 24B, 25A, 25B (Top margin is insufficient.)
- ☒ The character of the lines, numbers and letters is poor. FIG(s) 19C
- ☒ The illustration is penetrated or traversed by a solid or broken line that is not intended to be part of the drawing, such as a dark line caused by a flaw in the copying process. FIG(s) 19C
- ☐ An ink stamp or an image obscures part of the illustration. FIG(s) _____
- ☒ The drawing is marred by black smudges, obliterations, or fax/copier marks. FIG(s) 19C
- ☐ Figure numbers are duplicated or missing. FIG(s) _____
- ☒ Numbers, letters, or reference characters in the drawing have been crossed out by hand or are illegibly handwritten. FIG(s) 19C
- ☐ The drawing's background shows that the original drawing was made on graph paper or other paper with a pattern or decoration. FIG(s) _____
- ☐ The FIG. number label is placed in a location that causes the drawing to be read upside down. FIG(s) _____
- ☐ Data, a reference number, or part of the drawing is truncated or missing. FIG(s) _____
- ☐ The drawing is continued onto a second page (or more) without proper labeling under 37 CFR 1.84(u)(1). FIG(s) _____
- ☐ The drawing and/or the FIG. label contain(s) foreign language. FIG(s) _____
- ☐ Color drawings are present in this application but the following 37 CFR 1.84(a) requirements have not been met*:
- ☐ Petition filed
 - ☐ Petition fee
 - ☐ 3 sets of color drawings
 - ☐ Color drawing paragraph

*If color drawings are not elected, then applicant **must respond** so stating. Also, references to color drawings in the specification, if any, must be amended.

COMMENTS: